

02-15-02

Receipt

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

HEPWORTH, et al.

Serial No.: 10/028,874

Filed: October 22, 2001

Atty. File No.: 4366-43

For: "REAL TIME CONTROL PROTOCOL  
SESSION MATCHING"



Group Art Unit: 2152

Examiner:

REQUEST FOR CORRECTION OF  
FILING RECEIPT

"EXPRESS MAIL" MAILING LABEL NUMBER: EL 767782745 US  
DATE OF DEPOSIT: February 12, 2002

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED  
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TYPED OR PRINTED NAME: Angela P. Davis

SIGNATURE: 

**Office of Initial Patent Examinations**  
**Customer Service Center**  
Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

The official Filing Receipt for the above-referenced patent application contains the following clerical errors:

1. The total number of claims is 30, and the number of independent claims is 5, as shown in the claim calculations on the application transmittal letter dated October 22, 2001.

2. The filing date is October 22, 2001, as shown by the attached express mail receipt.

Please correct the filing receipt to show the correct number of independent claims as 5 and the filing date of the application as October 22, 2001.

For your reference, a copy of the Filing Receipt is enclosed, with the corrections indicated in red.

Please issue a corrected Filing Receipt for this patent application.

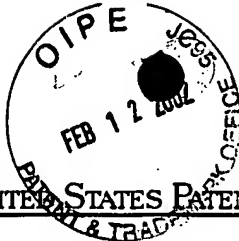
Respectfully submitted,

SHERIDAN ROSS P.C.

By: 

Douglas W. Swartz  
Registration No. 37,739  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141  
(303) 863-9700

Date: Feb. 12, 2002



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/028,874	<del>12/22/2001</del> 10/22/2001	2152	1172	4366-43	4	30	65

CONFIRMATION NO. 4659

Douglas W. Swartz  
SHERIDAN ROSS P.C.  
1560 Broadway, Suite 1200  
Denver, CO 80202-5141

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FEB 07 2002

SHERIDAN, ROSS

## FILING RECEIPT



\*OC000000007388651\*

Date Mailed: 01/30/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Neil Hepworth, Artarmon, AUSTRALIA;  
Alastair J. Rankine, Boulder, CO;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 01/29/2002

Projected Publication Date: 06/26/2003

Non-Publication Request: No

Early Publication Request: No

## Title

Real time control protocol session matching

Preliminary Class

709

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

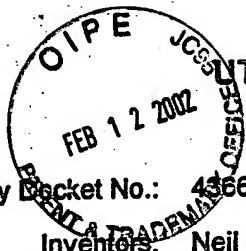
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 4366-43

Inventors: Neil Hepworth, 2 Broughton Road, Unit 703, Artarmon, Australia, NSW 2064  
Alastair J. Rankine, 1544 Harrison Avenue, Boulder, Colorado 80303

Express Mail Label No.: EL 822581352 US

Title: "REAL TIME CONTROL PROTOCOL SESSION MATCHING"

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Enclosed for filing with the above-identified utility patent application, please find the following:

1. ☒ Specification (Total Pages of Text, including Abstract and Claims: 24 )
2. ☒ Drawing(s) (35 USC 113) (Total Sheets: 4 ) ☐ FORMAL ☒ INFORMAL
3. ☒ Oath or Declaration (Total Pages: 6 ) ☒ Signed ☐ Unsigned
4. ☒ Assignment Papers (cover sheet & document(s))
5. ☒ Power of Attorney
6. ☒ Return Postcard (MPEP 503) (should be specifically itemized)

## FEE CALCULATION:

	(COL. 1) NO. FILED			(COL. 2*) NO. EXTRA	SMALL ENTITY			LARGE ENTITY	
					RATE	FEE		RATE	FEE
BASIC FEE:						\$370.00	OR		\$740.00
TOTAL CLAIMS:	30	-	20	10	X \$9 =		OR	X \$18 =	\$180.00
INDEP. CLAIMS:	5	-	3	2	X \$42 =		OR	X \$84 =	\$168.00
MULTIPLE DEPENDENT CLAIMS					+ \$130 =		OR	+ \$260 =	\$0.00
*IF THE DIFFERENCE IN COL. 2 IS LESS THAN ZERO, ENTER "0" IN COL. 2.					TOTAL:				\$1,088.00

## OTHER INFORMATION:

1. ☒ The Commissioner is hereby authorized to debit any underpayments or credit any overpayment to Avaya Inc. Deposit Account No. 50-1602.
2. ☒ The Commissioner is hereby authorized to charge all required fees for extensions of time under \$1.17 to Avaya Inc. Deposit Account No. 50-1602.
3. ☒ Correspondence Address:

Douglas W. Swartz  
SHERIDAN ROSS P.C.  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141  
Telephone: (303) 863-9700  
Facsimile: (303) 863-0223



12-20-11

#2  
PATENT 6-7-02  
9M

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

HEPWORTH, et al. ,

Serial No.: Not yet assigned 0028874

Filed: October 22, 2001

Atty. File No.: 4366-43

For: "REAL TIME CONTROL PROTOCOL  
SESSION MATCHING"

Assistant Commissioner for Patents  
Attn: Drawing Review Branch  
Washington, D.C. 20231

Group Art Unit: Not yet assigned

Examiner: 215

SUBMISSION OF FORMAL DRAWINGS

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ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS,  
WASHINGTON, D.C. 20231.

TYPED OR PRINTED NAME: Angela P. Davis

SIGNATURE:

Dear Sir:

Enclosed are three (3) sheets of formal, inked drawings illustrating Figs. 1-4 of the application. Figs. 1-4 constitute all of the drawings in the application.

**RECEIVED**

Respectfully submitted, FEB 25 2002

SHERIDAN ROSS P.C. Technology Center 2100

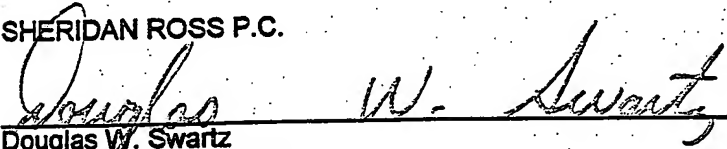
By:   
Douglas W. Swartz  
Registration No. 37,739  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141  
(303) 863-9700

Date: Dec. 12, 2001

4. ☒ Telephone calls should be made to undersigned at (303) 863-9700.

Respectfully Submitted,

SHERIDAN ROSS P.C.

  
Douglas W. Swartz  
Registration No. 37,739  
Attorney for Applicants

Date: Oct. 22, 2001

Avaya Technology Inc.  
211 Mount Airy Road  
Basking Ridge, NJ 07920

Initial: apd

Date 10/22/01

PTO Stamp indicates receipt of: ☒ Patent Matter ☐ Trademark Matter

Application Docket No.: 4366-43

Applicant: HEPWORTH, et al.

Title or Mark: "REAL TIME CONTROL PROTOCOL SESSION MATCHING"

Serial/Reg. No.: Not yet assigned

Filed/Issued Date: October 22, 2001

☐ Certificate of Mailing

24 No. of Pages in Specification

☒ Express Mail No.: EL 822581352 US

10 No. of Pages in Claims

☐ Check for \$4

4 No. of Sheets of Drawings

LIST ALL DOCUMENTS BEING SENT TO PATENT OFFICE:

Utility Patent Application Transmittal

Application

Declaration and Power of Attorney(2)

Recordation Form Cover Sheet

Assignment and Agreement (2)